

service category may include quality rating and improvement system (QRIS) investments as part of First 5 IMPACT and Quality Counts California.

This service category covers ECE settings work, most commonly by licensed care providers. Non-ECE settings work should be reported under General Family Support.

This service category may include interagency collaboration, facility grants and supply grants to providers, support services to diverse populations, and database management and development.

Program Models or Initiatives: Quality Counts California

Early Learning Programs

Early learning programs for children 0–5 years old, with direct costs for First 5 county commissions, which may include preschool programs, kindergarten transition services, and early learning programs for all ages. Early learning programs for primary caregiver and their children together, such as playgroups, primarily focusing on 0–3 year-olds are also included here. Programs may include are child related early literacy and Science, Technology, Engineering, and Math (STEM) programs; programs for homeless children; migrant programs; and similar investments.

Extra supports in early learning settings for homeless children, Federal Migrant or Tribal Child Care programs and children receiving Alternative Payment (AP) vouchers for childcare should be included here.

Program Models/Initiatives: Facility Grants, Playgroups, Preschool/Childcare Reimbursement, Summer Programs

Result Area: Improved Child Health

General Health Education and Promotion

Programs promoting children’s healthy development, including nutrition, fitness, access to health/dental/vision insurance and health services. Programs also may focus on increased awareness of information about child safety seats, fire, safe sleep, and drug/alcohol/tobacco education.

Program Models/Initiatives: Nutrition/Breastfeeding, Safe Sleep, Safety Education, Smoking or Tobacco Cessation

Perinatal and Early Childhood Home Visiting

Home visiting is a primary service delivery strategy for inter-generational family-centered supports. Home visiting services are provided in the home by qualified professionals with parents, prenatally and/or with children birth to age three. These voluntary programs tailor services to meet the needs of individual families and offer information, guidance, and support directly in the home environment. While home

visiting programs vary in goals and content of services, in general, they combine parenting and health care education, early intervention, and early learning supports for young children and their families. Their visits focus on linking pregnant women with prenatal care, promoting strong parent-child attachment, and coaching parents on learning activities that foster their child's development and supporting families during the pivotal window of pregnancy through early childhood.

Program Models/Initiatives: Early Head Start, Healthy Families America, Nurse Family Partnership, Parents as Teachers, HIPPY, Other MIECHV-Approved, Local Model

Prenatal and Infant/Toddler Pediatric Support

Out-of-home programs that may include prenatal care and follow-up for healthy development-related services during the first three years of a child's life. These programs are designed to improve the health and well-being of women during and after pregnancy, and the infant or young child by a paraprofessional and/or professional outside of the family home, including, but not limited, to pediatric or clinical environments. Programs may provide comprehensive support, including parenting education, health information, developmental assessments, providing referrals, and promoting early learning.

Program Models/Initiatives: DULCE, Healthy Steps, Local Model

Oral Health Education and Treatment

Programs providing an array of services that can include dental screening, assessment, cleaning and preventive care, treatment, fluoride varnish, and parent education on the importance of oral health care. This may include provider training and care coordination of services.

Program Models/Initiatives: County commissions may describe local efforts.

Early Intervention

Programs providing screening, assessment, and diagnostic services, including referrals or follow-up to needed services. Programs including early intervention or intensive services to children with disabilities and other special needs, or at-risk for special needs, should be included here. May include strategies targeting language and communication skills, social and emotional development, developmental delays, and related parent education. Mental Health Consultations in ECE settings should be included here. "Special Needs" refers to those children who are between birth and five years of age and meet the definition provided in Appendix D.

Program Models/Initiatives: Care Coordination, Mild-to-Moderate Supports

Result Area: Improved Systems of Care

Policy and Public Advocacy

Policy and Public Advocacy includes community awareness, public outreach and education on issues related to children 0–5 and their families. This also should include work focused on policy change, work with local and statewide stakeholders, policy development, and related efforts. Town Halls should be reported here.

Program Models/Initiatives: Resilient Families and Communities, Child Health, Early Learning, Revenue

Program and Systems Improvement Efforts

Efforts to improve service quality, connections between programs, infrastructure support, and professional development. This also may include activities such as strategic planning, business planning, grant writing workshops, sustainability workshops, and assistance in planning and promoting large community conferences or forums. These improvement efforts should result in improved outcomes for children ages 0–5 years of age. Improvements could be geared toward creating a well-trained workforce with shared professional standards and competencies, creating strong and effective linkages across particular system components, or leveraging funding to sustain the system of care. Database management and other cross-agency systems evaluation support should be reported here.

Program Models/Initiatives: Early Identification and Intervention, Health Systems, Family Strengthening, Place-Based, Talk.Read.Sing., Trauma Informed Care/ACEs

Grantee Type Definitions

County Health and Human Services. County government agencies such as departments of public health or social services.

County Office of Education/School District. County offices of education supporting local school districts. For county offices, see <https://www.cde.ca.gov/re/sd/co/index.asp>. For school districts, see <https://www.cde.ca.gov/schooldirectory/>

Family Child Care. In family child care homes providers are licensed and care for small groups of children in a residential building. Often this type of care has one or two caregivers and may offer non-traditional hours.

Child Care Centers. Child care centers are licensed facilities and often group children by age and are typically operated out of non-residential, commercial buildings. Centers are larger and enroll more children with a dedicated director and numerous staff members.

Higher Education. Public or private institutions such as community colleges, four-year colleges, and universities providing education beyond the K-12 level.

Hospital/Health Plan. Healthcare provider or special insurance plan.

Resource and Referral Agency (COE or Non-Profit). Agencies providing information to the public on the availability and quality of childcare as part of a county office of education or as a non-profit organization.

Other Public. Public agencies not listed above.

Community-Based Organization/Non-Profit. A public or private nonprofit organization representative of a community or a significant segment of a community that works to meet community needs.

Research/Consulting Firm. A for-profit or non-profit organization providing research, evaluation, or marketing services.

First 5 County Commission. A county commission established under the California Children and Families Act. (Note: This grantee type was previously labeled as “Internal” in FY 2017–18 Annual Report Guidelines.)

Program Model or Initiative Definitions

For definitions of Program Model or Initiative, please refer to the Glossary within the annual report data system.

Appendix B
Population Reporting by Result Area and Service Category

	Children Ages 0–5	Primary Caregivers*	Providers
Improved Family Functioning			
General Family Support	X	X	X
Intensive Family Support	X	X	X
Improved Child Development			
Quality Early Learning Support	X		X
Early Learning Programs	X	X	X
Improved Child Health			
General Health Education and Promotion	X	X	
Perinatal and Early Childhood Home Visiting	X	X	
Prenatal and Infant/Toddler Pediatric Support	X	X	
Oral Health Education and Treatment	X	X	X
Early Intervention	X	X	

*Includes parents, guardians, and other family members (see Appendix C).

Appendix C Population Definitions

Bilingual

A person who speaks two languages equally well and uses one or both languages in any number of settings. **Note:** To report the primary language spoken in the home in the AR-2, select language predominantly spoken in the home. If the primary language spoken in the home is unknown, enter the most likely choice.

Children Less than Three Years Old

Children ages birth up to their third birthday.

Children from Third to Sixth Birthday

Children from the day of their third birthday up to the day of their sixth birthday.

Children – Ages Unknown

Any child whose age is unknown but likely has not yet reached their sixth birthday.

Other Family Members

Family members who are not children age birth to sixth birthday may be aggregated under Primary Caregivers.

Primary Caregivers

Adults acting as the primary caregivers for a child age zero to their sixth birthday who receive direct services from a commission program. Includes parents, legal guardians, foster parents, grandparents, and other family members.

Providers

Includes health, social service, childcare and education providers, or other persons who provide services to pregnant women and/or children ages zero to their sixth birthday, or who participate in First 5 training or support programs.

Race/Ethnicity

Racial categories used by the United States Census Bureau reflect a social definition of race by which people self-identify with one or more social groups. Ethnicity determines whether a person is of Hispanic origin or not. These categories follow conventions of the Office of Management and Budget 1997 guidelines for race and ethnicity. **Note:** For the AR-2 Demographic Worksheet, select the race or ethnicity that best describes the program participant. Report Filipinos under the Asian category.

Appendix D General Definitions

Grantee Type

Category of contractor, agency, or organization receiving First 5 county commission funds for purposes of carrying out county commission work.

Program

A specific service with a common objective funded and/or provided by a commission and/or public or private agency, or California Children and Families Act (Proposition 10) dollars. Programs are mapped to one or more of the results and services.

Program Expenditures

Funds expended under the established definition of program costs: “Costs incurred by local First 5 commissions readily assignable to a program, grantee, contractor, or service provider (other than evaluation activities) and/or in the execution of direct service provision.” Refer to the First 5 Financial Management Guide for additional guidance.

Program Model or Initiative

Name of the evidence-based or evidence-informed strategy providing services or education to populations served by First 5 county commissions.

Special Needs

Children with special needs includes those identified with disabilities, health, or mental health conditions requiring early intervention, special education services, or other specialized services and supports.

Special needs children include those:

- Who are protected by the Americans with Disabilities Act (ADA)
- Who have, or are at-risk for, a developmental disability as defined by the Individuals with Disabilities Education Act (IDEA) Part C (Early Start 0 to 3 years old), or have a specific diagnosis as defined by IDEA Part B (3 years and above).

- Without identified conditions, but requiring specialized services, supports, or monitoring. These children may not have a specific diagnosis, but are children whose behavior, development, and/or health affect their family's ability to find and maintain services.

Appendix E

Small Population County Funding Augmentation Definitions

Administrative Costs

Funds that support personnel and operating costs necessary to accomplish the work set forth in the Local Assistance Agreement.

Evaluation

Costs can include the expense to hire an outside consultant or may include salary of an employee to complete the program evaluation and report.

Evidence-Based

Programs, strategies, or approaches shown through scientific research and evaluation to be effective and have evidence that supports generalizable conclusions.

Evidence-Informed

Program design and implementation based on the best available research evidence. This incorporates flexibility that can include families' cultural backgrounds, community values, and individual preferences into the program. Use of an evidence-based model with minor modifications for local implementation.

Other Funded Programs

Programs receiving SPCFA that are not evidence-based or evidence-informed and have a completed, current High-Quality Plan. May also include activities in Result Area, Improved Systems of Care (see Appendix A).

Professional Development, Training and Technical Assistance Costs

Funds that support opportunities as initial preparation (pre-service) and learning experiences (in-service) designed to improve the knowledge, skills/behaviors, and attitudes/values of the early childhood workforce. Professional development experiences must be evidence based; structured to promote linkages between research and practice; and responsive to each learner's background, experiences, and the current context of his/her role (National Association for the Education of Young Children).

Unspent Funds

Annual SPCFA funds not used in any of the above categories. Funds in this field may be due to a variance in accounting practices between state and county commissions.

Appendix F Reporting Requirements by Expenditure Detail

